# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

MAR 0 1 2004 W MAR 0

### **PATENT**

Confirmation No.: 2561

Group Art Unit: 1763

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Sumakeris *et al*. Serial No.: 10/714,214

Filed: November 14, 2003

For: ELECTRICAL CONNECTORS AND METHODS FOR USING THE SAME

Date: March 2, 2004

Office of Patent Legal Administration Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### PETITION TO ACCORD THE PROPER FILING DATE

Sir:

As set forth in 37 CFR § 1.10(c) and MPEP § 506.02, Applicants hereby petition to grant the proper filing date of November 14, 2003 rather than November 17, 2003 for the above-identified patent application as this was the date the application was deposited as "Express Mail" in the U.S. Postal Service. The following is enclosed as evidence of November 14, 2003 being the correct filing date for the application, which was properly filed under 37 C.F.R. § 1.10:

- 1. A true and accurate copy of the Cover Sheet for the Application showing the Express Mail signature and date on Page 2;
- 2. A true and accurate copy of the Express Mail Receipt along with a copy of the USPS-Track & Confirm from the web page (<u>www.usps.com</u>), showing "acceptance" or deposit date with the USPS at 5:39 p.m. on <u>November 14, 2003</u>; and
- 3. A true and accurate copy of our return post card and of the official filing receipt for this application, sent by the United States Patent and Trademark Office, showing that Applicants were improperly given the filing date of November 17, 2003 instead of the Express Mail date of November 14, 2003.

This petition is being filed promptly after Applicants became aware of the improperly accorded filing date. Accordingly, Applicants respectfully request the granting of November 14, 2003 as the filing date pursuant to 37 CFR § 1.10.

In re: Sumakeris *et al*. Serial No.: 10/714,214 Filed: November 14, 2003

Page 2

On the basis that Applicants properly followed the requirements of 37 CFR § 1.10 and the USPTO failed to accord the proper filing date under MPEP § 505 and thus the need to file this petition is due to error on the part of the USPTO, it is applicants' belief that no fee is due with this petition. However, the Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

David D. Beatty

Attorney For Applicants Registration No. 38,071

### **USPTO Customer No. 20792**

Myers Bigel Sibley & Sajovec Post Office Box 37428 Raleigh, North Carolina 27627

Telephone: 919/854-1400 Facsimile: 919/854-1401

### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Office of Patent Legal Administration, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 2, 2004.

Katie A. Chung





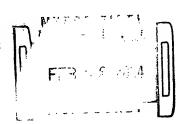




UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/714.214	11/17/2003	1763	856	5308-223CT	9	7	

20792 MYERS BIGEL SIBLEY & SAJOVEC PO BOX 37428 RALEIGH, NC 27627



**CONFIRMATION NO. 2561** 

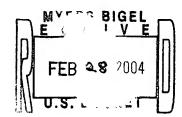
FILING RECEIPT
\*OC000000011958125\*

Date Mailed: 02/24/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Joseph John Sumakeris, Apex, NC; Michael James Paisley, Garner, NC;



### Domestic Priority data as claimed by applicant

This application is a CON of 10/017,492 10/30/2001

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

Induction heating devices and methods for controllably heating an article

**Preliminary Class** 

118

02-26-04 A09:44 IN

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5308-223CT DATE: November 14, 2003

## UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Alexandria, VA 22313-1450
Sir:
Transmitted herewith for filing under 37 CFR § 1.53(b) is:  ☐ a patent application ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: 10/017,492; filed October 30, 2001, the disclosure of which is incorporated herein be reference in its entirety. ☐ and claims the benefit of Provisional Application No; filed
Inventor(s) or Application Identifier:  Joseph John Sumakeris – Apex, North Carolina  Michael James Paisley – Garner, North Carolina
Entitled: INDUCTION HEATING DEVICES AND METHODS FOR CONTROLLABLY HEATING AN ARTICLE
Myers Bigel Sibley & Sajovec, P.A. P. O. Box 37428 Raleigh, North Carolina 27627 Telephone: (919) 854-1400 Facsimile: (919) 854-1401 Customer No. 20792
Enclosed are:  1. Application Transmittal Letter and Fee Transmittal Form (A duplicate is enclosed for fee processing)  2. 19 pages of Specification (including 42 claims)  3. 9 sheets of Formal Drawings (35 USC 113)  4. Oath or Declaration  a. newly executed (original or copy)  b. copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 6 Below]  c. DELETION OF INVENTOR(S) (Signed statement deleting inventor(s) named in the prior application)  5. Application Data Sheet. See 37 CFR 1.76  6. Incorporation By Reference (useable if box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated

by reference therein.					
Microfiche Computer Program (Appendix)					
Assignment papers (cover sheet(s) and document(s)) Filed in parent application.					
9. Applicant claims small entity status. See 37 CFR § 1.27.					
10. Information Disclosure Statement and PTO-1449					
11. Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.)					
12. English Translation Document					
13. Certified Copy of Application No. ; Filed					
14. Sequence Listing/ Sequence Listing Diskette					
a. computer readable copy					
b. paper copy					
c statement in support					
15. An Associate Power of Attorney					
16. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
17 Deletion of Inventors(s) (Signed statement attached deleting inventor(s) name in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b).					
18. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form.					
19. Other:					
The fee has been calculated as shown below:					

		,				<del></del>
	Column 1	Column 2	Small Entity		Large Entity	
	No. Filed	No. Extra	Rate	Fee	Rate	Fee
BASIC FEE		*	\$3	85.00	, ,	\$ 770.00
TOTAL CLAIMS	7 - 20 =	0	x 09 = \$		x 18 =	\$ 0
INDEP CLAIMS	4 - 3 =	1	x 43 = \$		x 86 =	\$86.00
MULTIPLE Dep	+ 145 = \$ + 290 = \$		= \$			
If the difference in Col. 1	Total \$		Total	\$856.00		

A check in the amount of \$856.00 to cover the filing fee is enclosed.
A check in the amount of \$\ is enclosed to cover the filing fee, PLUS the Assignment
Recordation fee (\$40.00).
Please charge my Deposit Account No. 50-0220 in the amount of \$
$\overline{\boxtimes}$ The Commissioner is hereby authorized to credit overpayments or charge the following fees
associated with this communication to Deposit Account No. 50-0220:
a. Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
b. Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

David D. Beatty

Registration No. 38,071

### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 318416815 US Date of Deposit: November 14, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PA FENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Katie A. Chung



			Customer Copy Label 11-F June 200
		EXPRESS MAIL	Caser n-r Julie 200
FA 37047P072	0.7	UNITED STATES POSTAL SERVICE®	Post OfficeTo Addressed
ORIGIN (POSTAL USE ONLY)	Personal Section Control of the Cont	DELIVERY (POSTAL USE ONLY)	即是在特別中的情報的表現的語言可能的
PO ZIP Code Day of Delivery	Flat Rate Envelope	Delivery Attempt Time	Employee Signature
Date In	Postage /	Mo. Day AM PM Delivery Attempt Time	
Mo. Day Year 12 Noon 3	(1)	Mo. Day AM DPM	Employee Signature
Time In Military	Return Receipt Fee	Delivery Date Time	Employee signature
AM PM 2nd Day 3	rd Day	Mo. Day AM PM	
Weight Int'l Alpha Country Co	ode COD Fee Insurance Fee	WAIVER OF SIGNATURE (Domestic Only) Add	tional merchandiae insurance is void if
lbs. ozs.		waiver of signature is requested: I wish delivery to addressee or addressee's agent (if delivery employe	be made without obtaining signature of
No Delivery Acceptance Clerk Init	lais Total Postage & Fees	addressee or addressee a gent (if delivery employe location) and I authorize that delivery employee a significant or addressee or addressee a gent (if delivery employee a significant or addressee) and addressee or addressee a gent (if delivery employee a significant or addressee) and addressee or addressee a gent (if delivery employee a significant or addressee) and addressee or addressee a gent (if delivery employee a significant or addressee) and addressee or addressee a gent (if delivery employee a significant or addressee) and addressee a gent (if delivery employee a significant or addressee) and addressee a gent (if delivery employee a significant or addressee) and addressee a gent (if delivery employee a significant or addressee) and addressee a significant or	nature constitutes valid proof of delivery VV
Weekend Holiday	S	NO DELIVERY Weekend Holiday	
CUSTOMER USE ONLY METHOD OF PAYMENT:	the state of the second	Production of the state of the	Customer Signature
Express Mail Corporate Acct. No. X275031		Federal Agency Acct. No. or Postal Service Acct. No.	Y Y
FROM: (PLEASE PRINT) PHONE (91)	9,354 1400	TO: (PLEASE PRINT) PHONE	
MYERS DIGEL SIBLEY PC 60X 37428	& SAJOVEC	MaileSopp Patent A	application -
RALEIGH	NC 27527-7428	Commissioner for F P.O. Box 1450	'atents
DDB: Rac 5308-223CT	11/14/03	Alexandria, Virgin	da 22313-1450
L		<u></u>	( )
PRESS HARD. You are making 3 copies. FOR PICKUP	OR TRACKING CALL 1-80	0-222-1814 Major Hono 2000	





Home

## **Track & Confirm**

### **Shipment Details**

You entered EV31 8416 815U S

Your item was delivered at 9:01 am on November 17, 2003 in ALEXANDRIA, VA 22313 to PATENT OFFICE. The item was signed for by G TURNER.

Here is what happened earlier:

- ARRIVAL AT PICK-UP-POINT, November 15, 2003, 9:46 am, ALEXANDRIA, VA 22313
- ARRIVAL AT UNIT, November 15, 2003, 9:39 am, DULLES, VA 20102
- ENROUTE, November 14, 2003, 6:19 pm, RALEIGH, NC 27623
- ACCEPTANCE, November 14, 2003, 5:39 pm, RALEIGH, NC 27612

### **Notification Options**

▶ Track & Confirm by email

What is this?



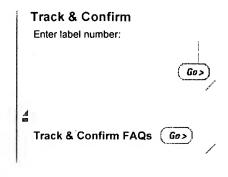
▶ Request Proof of Delivery

What is this?





site map contact us government services Copyright © 1999-2002 USPS. All Rights Reserved. Terms of Use Privacy Policy







DDB:kac

5308-223CT November 14, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

12-01-03 A09:37 IN

Express Mail Label No.: EV 318416815 US

Sir: Kindly acknowledge receipt of the accompanying by placing your receiving date stamp hereon and mailing:

Utility Patent Application Transmittal Letter and Fee Transmittal Form (in duplicate); Specification (16 pages – 7 claims); Drawings (9 sheets – Figures 1-17); Declaration and Power of Attorney (from parent); Information Disclosure Statement; Form PTO-1449; Check for \$856.00; In re: Sumakeris et al.; For: INDUCTION HEATING DEVICES AND METHODS FOR CONTROLLABLY HEATING AN ARTICLE

Respectfully submitted,
MYERS BIGEL SIBLEY & SAJOVEC